



Certificate of Mailing

certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope ssed to: Box PCT, Commissioner for Patents, PO Box 2327, Arlington, VA 22202 on November 4, 2002

Printed name: Joyce Abriam

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tang et al.

Title:

, HUMAN CHICKEN-LIKE LYSOZYME

Serial No .:

09/831,455

Filing Date:

To Be Assigned

Examiner:

To Be Assigned

Group Art Unit: To Be Assigned

Box PCT Commissioner for Patents PO Box 2327 Arlington, VA 22202

TRANSMITTAL FEE SHEET

Sir:

Transmitted herewith are the following for the above-identified application:

- 1. Return Receipt Postcard;
- 2. Response to Notification of Defective Response (2 pp.);
- 3. Copy of Notification of Defective Response dated October 4, 2002 (11 pp.);
- 4. Substitute Submission Under 37 CFR § 1.821-1.825 Sequence Listing (1 pg.);
- 5. One (1) Substitute CRF diskette containing the Sequence Listing;
- 6. Paper copy of substitute Sequence Listing (30 pp.); and
- 7. Certificate under 37 C.F.R. §3.73(b), Revocation of Power of Attorney and Appointment of New Attorneys (2 pp.).

The fee has been calculated as shown below:

X No additional Fee is required.

Please charge Deposit Account No. 09-0108 in the amount of:

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108. A duplicate copy of this sheet is enclosed.

> Respectfully submitted, INCYTE GENOMICS, INC.

Date: 04/lovomber 2002

Diana Hamlet-Cox Reg. No. 33,302

Direct Dial Telephone: (650) 845-4639

Customer No.: 27904 3160 Porter Drive

Palo Alto, California 94304 Phone: (650) 855-0555 Fax: (650) 845-4166





#13

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed (CROX, PGTX) commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on November 4, 2002

By: Joyce Almis

Printed: Joyce Abriam

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Tang et al.

Title: HUMAN CHICKEN-LIKE LYSOZYME

Serial No.: 09/831,455

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Group Art Unit:

To Be Assigned

Box PCT Commissioner for Patents P.O. Box 2327 Arlington, VA 22202

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

In response to the "Notification of Defective Response" mailed by the United States Patent and Trademark Office on October 4, 2002, (copy attached), Applicants submit the document(s) listed below to complete the filing for the above-identified patent application.

- 1. Substitute Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.);
- 2. One (1) Substitute CRF diskette containing the Sequence Listing; and
- 3. Paper copy of substitute Sequence Listing (30 pp.).

The Applicants believe that no fee is due with this communication. However, if the Commissioner determines that additional fees are due or that an excess fee has been paid, the Patent Office is authorized to debit or credit (respectively) Deposit Account No. <u>09-0108</u>.

Docket No.: PF-0634 USN

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 04 Novombor 2002

for Diana Hamlet-Cox

Reg. No. 33,302

Direct Dial Telephone: (650) 845-4639

3160 Porter Drive

Palo Alto, California 94304

Phone: (650) 855-0555 Fax: (650) 849-8886

11/12/1998



OCT 1 0 2002

11/12/1999



Commissioner for Patents, Box PCT
Commissioner for Patents, Box PCT
Commissioner for Patents, Box PCT
Washington, D.C. 20231

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

09/831,455 Tom Y Tang PF-0634 USN

INTERNATIONAL APPLICATION NO.
PCT/US99/27099

I.A. FILING DATE PRIORITY DATE

Incyte Genomics 3160 Porter Drive Palo Alto, CA 94304

CONFIRMATION NO. 4335
371 FORMALITIES LETTER
OC0000000008908337

Date Mailed: 10/04/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- . U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of references cited in ISR
- Copy of the International Application
- · Copy of the International Search Report
- Oath or Declaration

Applicant's response filed 08/20/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 12/07/2001 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - See attached Raw Sequence Listing Error Report.
 - APPLICANT MUST PROVIDE:

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- o For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - □ For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - □ For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/831,455	PCT/US99/27099	PF-0634 USN

FORM PCT/DO/EO/916 (371 Formalities Notice)



RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	09/831,455A
Source:	Putog Rust
Date Processed by STIC:	8/26/2002
▼	

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- Hand Carry directly to:
 U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
 - U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- 4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002





PCT/09

RAW SEQUENCE LISTING DATE: 08/26/2002 PATENT APPLICATION: US/09/831,455A TIME: 14:40:30

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TIME: 14:40:30

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RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/831,455A

RAW SEQUENCE LISTING DATE: 08/26/2002 PATENT APPLICATION: US/09/831,455A TIME: 14:40:30

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RAW SEQUENCE LISTING
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DATE: 08/26/2002 TIME: 14:40:30

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PATENT APPLICATION: US/09/831,455A

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DATE: 08/26/2002 TIME: 14:40:32

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VERIFICATION SUMMARY

DATE: 08/26/2002 PATENT APPLICATION: US/09/831,455A TIME: 14:40:32

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979 M:283 W: Missing Blank Line separator, <220> field identifier 982 M:283 W: Missing Blank Line separator, <400> field identifier 1023 M:283 W: Missing Blank Line separator, <220> field identifier 1025 M:203 W: MISSING Blank Line Separator, <2205 field identifier
1026 M:283 W: Missing Blank Line separator, <4005 field identifier
1062 M:283 W: Missing Blank Line separator, <2205 field identifier
1399 M:256 W: Invalid Numeric Header Field, Identifier <3095 Expected, SEQ:33
1426 M:256 W: Invalid Numeric Header Field, Identifier <3095 Expected, SEQ:34
1451 M:256 W: Invalid Numeric Header Field, <3005 has non-blank data
1453 M:256 W: Invalid Numeric Header Field, Identifier <3095 Expected, SEQ:35